

# Towards the Use of New Methods for Formative e-Assessment of 21<sup>st</sup> Century Skills in Schools

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**Abstract.** Schools are in the process of reorienting their curricula to the development of 21st century skills and competences; however, their assessment methods have not yet been updated. The PREATY project aims to make teachers in primary and secondary schools aware of the use and benefits of modern e-assessment methods and tools. This paper presents the preliminary work developed in the project.

**Keywords.** Formative assessment, e-assessment, 21<sup>st</sup> century skills, key competences, primary and secondary school, teacher training

## 1 Introduction

There has been a recent trend across the EU towards competence-based teaching and learning [5]. Nowadays, school curricula pay special attention to the development of 21<sup>st</sup> century skills (e.g. creativity, critical thinking, collaboration, or problem solving skills) and key competencies that are seen as needed for global citizens and workers. However, in many countries, the assessment system has not changed and is still primarily focused on summative assessment of static knowledge and end-results, without attention on the learning process and the acquisition of competences and skills.

Though there are alternative assessment methods -more process and formative orientated such as portfolios or rubrics-, they are not widespread and they are often seen as additional activities rather than an integral part of the curriculum. Also ICT technologies enable alternative assessment forms, also called e-assessment [6]. A number of e-assessment tools are already available, and have proved their usefulness

to meet assessment needs and promote learning. However, teachers, especially in primary and secondary education, have not adopted them yet. Therefore, there is a need to change the current practices and to support teachers with integrating modern assessment approaches and tools for learning as part of the curriculum.

Aware of this need, the PREATY (PRoposing modern E-assessment Approaches and Tools to Young and experienced in-service teachers) project aims to equip teachers in primary and secondary schools with e-assessment strategies and tools to evaluate a number of key 21<sup>st</sup> century skills and competencies. Opposite to the traditional test and output-focussed perspective on assessment, the project pursues to promote **assessment for learning**, therefore focussing mainly on the formative assessment of these skills and competences.

This paper presents initial work carried out in the project. Section 2 describes our perspective on formative assessment and the potential benefits and requirements of supportive technology. Section 3 presents the modern e-assessment instruments that will be studied, with a description of their main characteristics and supporting tools. Section 4 points out the challenges of implementing 'assessment for learning' and formative assessment in schools. Finally, Section 5 concludes with the approach and further steps taken in the PREATY project to introduce formative e-assessment of 21<sup>st</sup> century skills in schools.

## **2 Formative e-assessment: Characteristics and Potential Surplus Value of Technology**

The purpose of formative assessment is to support teaching and learning processes by providing developmental feedback (on an item, topic and/or assessment level by means of different sources, such as peers, experts or teachers) to a learner (and their teacher) on his/her current understanding or skills [3][5][1][2] during a period of instruction [11][15]. Formative assessment differs from summative assessment in that it is a continuing process of feedback; therefore the information on performances is gathered continuously, mirrored against a set of predefined criteria or good practices, and is used to shape improvements and promote an individual's learning, rather than serve as a final formal summary of learners' achievement [8][17]. The responsibility for learning is with both learners as teachers and eventually their peers [2][9]. They determine (jointly) where a learner is going, where (s)he is now

and how a learner can get where (s)he wants [20]. Formative assessment strategies address and integrate both role as well as reflective questioning aspects.

Technology can offer different functions that potentially can facilitate and enhance formative assessment aspects [6][10]. It can improve **access** to assessments by different actors (e.g. also by peers, experts and teachers) at anytime, anyplace and anywhere, enabling learners to measure their understanding when and how often they want and allow them **more control of their learning**. Feedback times can be shortened and this can help to change misconceptions rapidly, or feedback may be given from different perspectives, within a group or adopted to a learner. Thus, technology can affect **feedback quality** and the **motivation of a learner**. Also, technology can **track, trace store, process and visualize learners' results as well as actions**, which makes them **visible and available** for various learning purposes, such as individual or group **reflection** or to evaluate and visualize a **learners progress**. Technology can also affect **teacher efficiency**, as teachers can be supported with various tools helping to reduce assessment time, thus saving time that can be spent otherwise. Also, as technology enables rapid updating and combining material, it can also contribute to **more varied and authentic assessment designs**. We further describe three e-assessment instruments and their potential for formative assessment.

### **3 Identification of Available Formative e-assessment Approaches and Tools**

As a first step towards the introduction of formative e-assessment in primary and secondary schools, PREATY makes an inventory of innovative e-assessment instruments, starting with: e-Portfolio, learning analytics and enriched rubrics. These instruments may help teachers to have a better understanding of students' performance and offer valuable feedback to students and parents. They can support formative assessment methods that have proven to be effective for learning [17], such as self- or peer-assessment, instructional dialogues, questioning and reflective lessons. This section describes the main characteristics of the mentioned e-assessment instruments.

The first instrument examined is an *ePortfolio*. They are an electronic version of traditional portfolios, meaning that the work samples inside them will be of digital nature; coming from different sources and

tools [18]. The ePortfolio facilitates the capabilities of the learning portfolio to show the process and results of a learning path, proving the quality and level of achievement of the targeted competences [4]. The ePortfolio should include basic storing capabilities, with interoperability support, as well the management of permissions and mechanisms to provide feedback on the shared artefacts. Finally, different views of the work samples should be possible, in order to highlight the competences of interest in each case.

A second e-assessment instrument of interest is *Learning Analytics* (LA), which can be defined as the measurement, collection, analysis, and reporting of data about learners and their contexts, for purposes of understanding and optimizing learning and the environments in which it occurs [16]. LA allows people who are responsible for the teaching and learning process to identify possible learning risks, and make the necessary changes, depending on the situations encountered. Many LA systems and approaches are being developed, like the ones based on Social Network Analysis. In spite of the recent interest in these techniques, still a major challenge is to adapt the output and visualizations to the teacher and learner's needs.

Thirdly, learning analytics can be combined with rubrics in the so-called *enriched rubrics* [12]. These instruments have the form of a traditional rubric, but (at least part of) the criteria are assessed by indicators automatically computed from the interaction of the students with the system. One of these systems is LAe-R [12], a Moodle plugin that allows a teacher to create an enriched rubric (ER) from scratch, or create a new one from a template or edit an existing one. When creating an ER, the teacher adds the assessment criteria with their corresponding descriptions and the grading levels (see Figure 1). LAe-R allows a teacher to add types of criteria that are associated to learning analysis indicators: collaboration, grades to assignments, and study of learning resources.

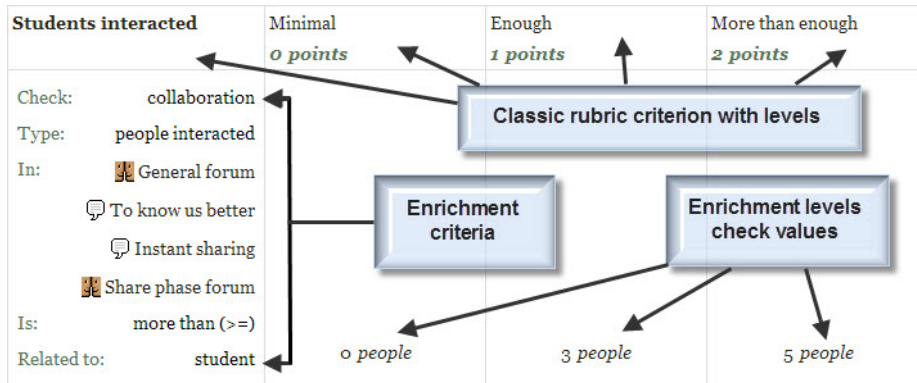


Figure 1 Screenshot of how a teacher can specify an assessment criterion in LAe-R

The three instruments and their electronic applications and tools each in their own way support the continuing process of feedback and help to shape students and teachers understanding of it, both at the individual and the group level. An ePortfolio can be used in formative and summative assessment procedures; they are very useful for formative feedback because they typically allow to demonstrate and monitor both the learning products and the –process. In principle it encourages students to take responsibility for their own learning and for the sharing of its results. The online portfolio tools often provide additional tools for sharing, analysis and support.

LA systems can cover a wide range of applications, from the *small-scale* awareness tools, with a long tradition in educational technology, to *large-scale* academic analytics. Our focus in PREATY is at the small-scale level, which is closer to our target users. Several tools exist at this level, that based on the data collected from the learning systems make the processes visible, hereby allowing interventions and reflection. Many of these tools are research prototypes, though. Our goal in PREATY regarding these systems is to analyze what is needed to make them operational in the target school contexts.

Finally, most of the software tools supporting rubrics allow for cooperation on the formulation and modification of rubrics, both between teachers and between teachers and students. Tools that support enriched rubrics add the possibility to automate part of the assessment, thus making it more efficient.

In spite of the potential benefits of the reviewed instruments and associated tools, their uptake by the target group is very small. Next section goes into some explanations for this lack of interest.

#### **4 Challenges and Approaches Towards Implementing Formative e-assessment in Schools**

Different bottlenecks can be mentioned that prevent easy implementation of formative assessment in schools and the technological support of it. As the authors in [17] mention, based on an extensive review study, still a large amount of conceptual confusion exists on the definition of formative assessment, and scientific research about the conditions of effectiveness of formative assessment is still in an early phase of development.

Implementing formative e-assessment in schools also requires some essential preparatory steps. In the first place more information and communication about formative assessment and possible approaches herein is necessary, as they are not yet widely known and accepted. The tools mentioned in section 3 are seen as powerful, although only partially applicable for the target groups of the PREATY project. Success – and acceptance on the longer term by teachers- depends on some other crucial conditions. Reflection about formative assessment and the goals one can achieve belongs to a school culture where teachers – and management- are willing to think about innovation and come loose of a culture where they alone are in total control [17]. Looking at the conceptualization of [20], described earlier in this paper, it is also clear that different target groups are involved in the implementation process, not only teachers, but also learners and their peers. Thus, implementation of formative assessment in schools requires a willingness to completely (re)think (about) assessment.

A second issue at stake in the PREATY project is the introduction and training related to the technological tools and the formative e-assessment aspects. Regarding the training about technological aspects it is important to focus on acceptance by giving attention on how to present the objective of technology; are tools presented as ‘doing things better’ or as ‘doing better things’ [14]. These distinctions are important as they include implicit views on the kind of enhancement that is at stake. The importance of training is also stressed by Whitelock [19]. She argues that four components are important to embed modern

methods of assessment: (1) tool development; (2) staff training; (3) re-thinking the assessment tasks; and (4) learning from the assessment tasks.

Teacher professionalization in the field of formative assessment and the related technological tools implies the training of complex skills. Trainings therefore will have to include [13]:

1. information and training for in service teachers on formative assessment – enhancing: assessment for learning, learn how to reflect, how to use criteria, how to handle feedback, how to work in teams etc.

2. information and training on technological tools; as very few tools are available training could be used to gather information about desirability and requirements for tools to be developed in this field. From the project results thus far it is clear that very few tools in this field are available for the target groups of the project (primary/secondary in-service teachers). Most tools are developed for use in higher education; this seems especially the case for the learning analytics tools, as they use mainly online activities within learning environments. Implementation and use of these environments is only starting in the context of secondary schools and is almost inexistent in primary schools.

This might take the project to a training design focussing on sketching some alternative scenarios for formative assessment based on what is available from higher educational tools and from there going to the formulation of requirements for formative assessment tools within primary and secondary education.

## **5 Conclusions**

The adoption of formative assessment in schools requires a change of mind, from a focus on summative testing, end results and teacher responsibility towards a process and learning oriented perspective, with a joint responsibility of learners, teachers and peers. It is important to learn to see formative assessment as a process, rather than a particular kind of assessment [9], with different formative assessment strategies that can support that process. Next to teacher training material and training strategies that help to adopt and develop this mind-set, the availability of effective (for learning) formative e-assessment methods and tools can help to facilitate adoption within schools. The vision of the PREATY project is to advance in these directions, by setting up a

set of teacher training workshops implementing these principles. We aim to explore the ways in which the e-assessment tools identified in the project can (or cannot) be adopted by primary and secondary school teachers to assist them with applying these principles in practice.

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